

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

: Guillermo J. Tearney et al.

Serial No. :

09/709,162

Filed

November 10, 2000

Entitled

SPECTRALLY ENCODED MINIATURE ENDOSCOPIC

IMAGING PROBE

Group Art Unit

3737

Examiner

Eleni M. Mantis Mercader

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. § 1.97(i)

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 I hereby certify that this document is being sent via First Class U. S. mail addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on this day of September 7, 2006.

(Signature)
Gary Abelev, Esq.

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(d), Applicants respectfully requests that the listed references on the enclosed form PTO-1449 be placed in the file of the above-referenced application. Copies of the United States patent references listed on the Form PTO-1449 are not enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are material or constitute "prior art." If the Examiner applies the documents as prior art against any claim in the application and applicant determines that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of the documents.

187718/US -- 475387-00245 PATENT

If the Examiner applies the documents as prior art against any claim in the

application and applicants determine that the cited documents do not constitute "prior art" under

United States law, applicants reserve the right to present to the Office the relevant facts and law

regarding the appropriate status of the documents.

The requisite fee of \$180.00 pursuant to these sections and 37 C.F.R. § 1.17(p) is

enclosed herewith. The Commissioner is hereby further authorized to charge any deficiencies

and credit any over payments for the submission of this Information Disclosure Statement to the

Dorsey & Whitney's Deposit Account 50-2054.

Respectfully submitted,

DORSEY & WHITNEY, LLP

Gary Abelev

USPTO Reg. No. 40,479

Attorney for Applicants

(212) 415-9371

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Atty. Docket No. 187718/US – 475387-00245

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Applicant(s)
Guillermo J. Tearney et al.

Filing Date

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SEP 1.1 2006

November 10, 2000

U.S. PATENT DOCUMENTS

*Exam. Init.			Docum	nent N	0.			Date	Name	Class	Subclass	Filing Date if Appropriate
	2	3	3	9	7	5	4	January 25, 1944	P.H. Brace			
	4	6	0	1	0	3	6	July 15, 1986	Faxvog et al			-
	4	6	3	1	4	9	8	December 23, 1986	Cutler			
	4	8	6	8	8	3	4	September 19, 1989	Fox et al			
	4	9	2	5	3	0	2	May 15, 1990	Cutler			
	4	9	6	5	4	4	1	October 23, 1990	Picard			
	4	9	9	3	8	3	4	February 19, 1991	Carlhoff et al			
	5	0	4	0	8	8	9	August 20, 1991	Keane			
	5	0	4	6	5	0	1	September 10, 1991	Crilly	1		
	5	1	2	0	9	5	3	June 9, 1992	Harris			
	5	1	9	7	4	7	0	March 30, 1993	Helfer et al			
	5	2	9	3	8	7	2	March 15, 1994	Alfano et al			
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	5	3	8	3	4	6	7	January 24, 1995	Auer et al			
	5	4	1	9	3	2	3	May 30, 1995	Kittrell et al			
	5	4	3	9	0	0	0	August 8, 1995	Gunderson et al			
	5	4	4	1	0	5	3	August 15, 1995	Lodder et al	†		
	5	4	5	9	5	7	0	October 17, 1995	Swanson et al		1	
	5	4	6	5	1	4	7	November 7, 1995	Swanson			
	5	4	8	6	7	0	1	January 23, 1996	Norton et al			<u> </u>
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	5	5	8	3	3	4	2	December 10, 1996	Koji Ichie		<u> </u>	
	5	5	9	0	6	6	0	January 7, 1997	MacAulay et al			
	5	6	0	1	0	8	7	February 11, 1997	Richards- Kortum et al			

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	5	6	9	7	3	7	3	December 16, 1997	Gunderson et al
	5	7	1	9	3	9	9	February 17, 1998	Alfano et al
	5	7	4	8	5	9	8	May 5, 1998	Swanson et al
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	6	1	1	7	1	2	8	September 12, 2000	Kenton W. Gregory
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	6	1	4	1	5	7	7	October 31, 2000	Rolland et al

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W. I. T.										
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	6	2	0	8	8	8	7	March 27, 2001	Richard H. Clarke	
	6	2	8	2	0	1	1	August 28, 2001	Tearney et al	
	6	3	4	1	0	3	6	January 22, 2002	Tearney et al	
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2004	0	1	6	6	5	9	3	August 26, 2004	Nolte et al.	

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Atty. Docket No. 187718/US – 475387-00245 Applicant(s) Serial No. 09/709,162

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W. A.										
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	6	4	6	9	8	4	6	October 22, 2002	Ebizuka et al.	

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	5	6	2	3	3	3	6	April 22, 1997	Raab et al.	
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	6	1	9	8	9	5	6	March 6, 2001	Dunne, Shane	
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	6	6	8	7	0	1	0	February 2004	Horii et al.	
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	4	7	7	0	4	9	2	September 13, 1988	Levin et al.	

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2004	0	1	5	0	8	2	9	August 5	, 2004	Koch et al.	Τ				
-	5	3	0	5	7	5	9	April 26,	1994	Kaneko et al.	 				
	6	2	6	3	2	3	4	July 17, 2	2001	Engelhardt et al.	<u> </u>				
	6	3	8	4	9	1	5	May 7, 2	002	Everett et al.					
	6	6	1	5	0	7	1	Septembe	er 2, 2003	Casscells, III et al.					
2003	0	1	7	1	6	9	1	Septembe	er 11, 2003	Casscells, III et al.					
	6	2	7	2	3	7	6	August 7	, 2001	Marcu et al.					
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